



Express Mail No.: EM 061 019 646 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Aguilar *et al.*

Application No.: 09/159,481

Group Art Unit: 2743

Filed: September 23, 1998

Examiner: To be assigned

For: SCALABLE AND EMBEDDED  
CODEC FOR SPEECH AND  
AUDIO SIGNALS

Attorney Docket No.: 8183-019

**RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION *FILING DATE GRANTED* mailed on October 19, 1998, a copy of which is enclosed, as regards item 3, Applicants submit herewith executed Declaration and Power of Attorney from all inventors (on three separate forms).

As regards items 1 and 2 of the Notice, the total amount due for this filing, as indicated in the enclosed form, is believed to be \$1,826.00. Please note that Applicants' original submission dated September 23, 1998 authorized the payment of \$848.00. In case this amount was charged, at the time, the payment of the remainder (estimated to be \$978.00) is hereby authorized. Otherwise, please charge the full required fee (estimated to be \$1,826.00) to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

In connection with the Notice, Applicants are filing concurrently herewith a  
Petition for Extension of Time for two (2) months, the fee for which is stipulated therein.

Respectfully submitted,

*Ognyan V. Shevtsov, R.N. 38,051*  
*for Francis E. Morris*

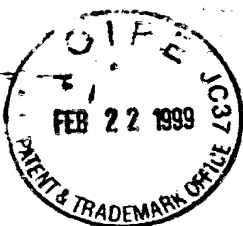
Date February 19, 1999

24,615  
Francis E. Morris (Reg. No.)

**PENNIE & EDMONDS** LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711

(212) 790-9090

Enclosure



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/159,481 09/23/98 AGUILAR J 8183-019-999

020583  
PENNIE EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK NY 10036-2711

0232/1019

NOT ASSIGNED

2743

DATE MAILED:

10/19/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1826.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 906.00, including any multiple dependent claim fees, are required:

\$ 246.00 for 3 independent claims over 3.

\$ 660.00 for 30 dependent claims over 20.

\$            for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.823."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

02/24/1999 SLU:WHE 09/159,481  
01:10:101  
02:10:102  
03:10:103  
04:10:105